

UDC 512.71

DOI: 10.20310/1810-0198-2016-21-6-1933-1937

ON THE COMPUTATION OF LAGRANGE'S QUADRATIC BOUND FOR POSITIVE ROOTS OF POLYNOMIALS

© A. G. Akritas¹⁾, G. I. Malaschonok²⁾

¹⁾ University of Thessaly

Volos, Greece, 38221

E-mail: akritas@uth.gr

²⁾ Tambov State University named after G.R. Derzhavin

33 Internatsionalnaya St., Tambov, Russian Federation, 392000

E-mail: malaschonok@ya.ru

Lagrange's quadratic bound, LQ, on the values of the positive roots of polynomials consists of two parts. Sorting of one-dimensional arrays is used in the second part of the Lagrange algorithm in all known implementations. We propose to change this part of the algorithm and to avoid the sort. The computing time of the second part as is currently implemented is $O(n \cdot \log(n))$. With our improvement we reduce the computing time of the second step of LQ to $O(n)$.

Key words: Lagrange's Quadratic Bound; Positive Roots of Polynomials

Introduction.

On p. 553 of his original paper [1]— or on p. 32, of his famous book [2], which constitutes the 8-th volume of *Œuvres de Lagrange*, edited by Joseph Alfred Serret [3] — Lagrange only states that given the polynomial F , where

$$-\mu y^{r-m} - \nu y^{r-n} - \bar{\omega} y^{r-p} - \dots$$

are its “negative terms”, then an upper bound for the real roots of F is given by the sum of the first two largest of the quantities

$$\sqrt[m]{\mu}, \sqrt[n]{\nu}, \sqrt[p]{\bar{\omega}}, \dots$$

or “a number larger than this sum.”

The interesting history of this theorem can be found elsewhere [4]. Here we simply state the theorem without its proof.

T H E O R E M (Lagrange, 1767)

Let $f(x) = x^n + a_{n-1}x^{n-1} + \dots + a_0$, be a non constant monic polynomial of degree n over \mathbb{R} and let $a_{n-j} : j \in J$ be the set of its negative coefficients. Then an upper bound for the positive real roots of f is given by the sum of the largest and the second largest members in the set $\{\sqrt[j]{|a_{n-j}|} : j \in J\}$. That is,

$$b = \max_{\{a_{n-i}, a_{n-k} \in J\}} (\sqrt[i]{|a_{n-i}|} + \sqrt[k]{|a_{n-k}|}). \quad (1)$$

Lagrange's Quadratic Algorithm LQ.

In LQ we use the list (of lists) t of length $n+1$, in which initially each entry is $[\]$, the empty list. The list $t_{n-j} = t[n-j]$ corresponds to the coefficient a_{n-j} of the polynomial and, if $a_{n-j} > 0$, then the list $t[n-j]$ contains all the minimum values produced by $a_{n-j} > 0$ when "paired" with various negative coefficients a_{n-i} , with $i > j$.

An algorithmic description of Lagrange's quadratic method LQ is presented in Algorithm below.

Algorithm 1: LQ(f , x), Lagrange's Quadratic Algorithm.

```

Input: A univariate polynomial  $f(x) = a_n x^n + a_{n-1} x^{n-1} + \dots + a_0 \in \mathbb{Z}[x]$ , with  $a_n > 0$ .
Output: An upper bound on the values of the positive roots of  $f(x)$ .

// at least one sign variation ( $v \geq 1$ )?
 $cl \leftarrow [a_0, a_1, a_2, \dots, a_{n-1}, a_n]$ ; /* list of length  $n+1$  */
 $v \leftarrow$  number of sign variations in  $cl$ ;
if  $v = 0$  then return 0;

// initialize variables
 $m \leftarrow$  length( $cl$ );
 $t \leftarrow [ [\ ], [\ ], [\ ], \dots, [\ ], [\ ] ]$ ; /* list of length  $n+1$  */

// (1) main loop, the same loop in the improved algorithm 2
1 for  $j = 0$  to  $m - 1$  step 1 do
2   if  $cl(j) < 0$  then
   |  $b \leftarrow +\infty$ ;
   |  $index \leftarrow m$ ;
   | for  $k = j + 1$  to  $m - 1$  step 1 do
3   | | if  $cl(k) > 0$  then
   | | |  $q \leftarrow (-\frac{cl[j]}{cl[k]})^{1/(k-j)}$ ;
4   | | | if  $q < b$  then
   | | | |  $b \leftarrow q$ ;
   | | | |  $index \leftarrow k$ ;
   | | | end
   | | end
   | end
   |  $t[index] \leftarrow$  append( $t[index], b$ );
   end
end

// (2) secondary loop to process the list of lists  $t$ 
 $b \leftarrow 0$ ;
5 for  $j = 0$  to  $m - 1$  step 1 do
   |  $tp \leftarrow t[j]$ ;
6   | if  $tp \neq [\ ]$  then
7   | | if length( $tp$ ) = 1 then
   | | |  $tp \leftarrow tp[0]$ ; /* enumeration starts from 0 */
   | | | else
   | | | |  $tp \leftarrow$  sort( $tp$ ); /* sort  $tp$  in increasing order */
   | | | |  $tp \leftarrow$  sum( $tp[-2:]$ ); /* sum of the largest two values */
   | | | end
8   | | if  $tp > b$  then
   | | |  $b \leftarrow tp$ ;
   | | | end
   | end
end
return  $b$ 

```

Algorithm 2: LQ(f, x), Lagrange's Quadratic Algorithm Improved.

```

Input: A univariate polynomial  $f(x) = a_n x^n + a_{n-1} x^{n-1} + \dots + a_0 \in \mathbb{Z}[x]$ , with  $a_n > 0$ .
Output: An upper bound on the values of the positive roots of  $f(x)$ .

// at least one sign variation ( $v \geq 1$ )?
cl ← [a0, a1, a2, ..., an-1, an]; /* list of length n+1 */
v ← number of sign variations in cl;
if v=0 then return 0;

// initialize variables
m ← length(cl);
t ← [ [], [], [], ..., [], [] ]; /* list of length n+1 */

// (1) main loop, the same loop in the previous algorithm 1
// (2) secondary loop to process the list of lists t without sort
b ← 0;
1 for j=0 to m-1 step 1 do
2   if tp ≠ [] then
3     tp ← t[j];
     ltp ← length(tp);
     if ltp=1 then
       | sc ← tp[0]; /* enumeration starts from 0 */
     end
     c ← [];
4     if ltp > 2 then
5       if tp[0] < tp[1] then
         | c ← c[tp[0], tp[1]];
       else
         | c ← c[tp[1], tp[0]];
       end
     else
       | c ← c[tp[0], tp[1]];
     end
6     for k=2 to ltp-1 step 1 do
7       if tp[k] > c[0] then
8         if tp[k] > c[1] then
           | c[0] ← c[1];
           | c[1] ← tp[k];
         else
           | c[0] ← tp[k];
         end
       end
       k ← k + 1;
     end
     sc ← sum(c[-2:]); /* sum the two values of c */
9     if sc > b then
       | b ← sc;
     end
   end
end
return b

```

The algorithm works as follows:

- each negative coefficient a_{n-i} of the polynomial is “paired” with each one the preceding positive coefficients a_{n-j} , ($i > j$) and the minimum is taken of all the radicals of the form

$$\sqrt[i-j]{-a_{n-i}/a_{n-j}} \quad (2)$$

as indicated in Lagrange's theorem); each minimum is then appended to the corresponding list $t[n-j]$,

- we initialize a temporary bound to 0, and then for each non-empty list $t[n-j]$ we proceed as follows: (a) if the list $t[n-j]$ has a single element, and its value is greater than the temporary bound, then it (the single element) becomes the temporary bound and, (b) if the list $t[n-j]$ has more than one element, we sort them in increasing order and take the sum of

the largest two; if the sum is greater than the temporary bound, then *it* (the sum) becomes the temporary bound; at the end the temporary bound is taken as the estimate of the bound.

Note the function `sort` in step 7 of the Algorithm 1 above. Obviously, the computing time of the secondary loop (steps 5-8) is $O(n \cdot \log(n))$.

Improved Lagrange's Quadratic Algorithm LQ.

In the improved LQ algorithm we replaced the function `sort` by a series of instructions, with the help of which the computing time of the secondary loop becomes $\Theta(n)$.

Having replaced the function `sort` the secondary loop of the algorithm (steps 5-13) is now executed in time $\Theta(n)$.

Conclusion.

In this paper we have presented an improvement of Lagrange's quadratic bound for computing upper bounds on the positive roots of polynomials.

The computing time of LQ is, of course, $O(n^2)$ but, as described in [4], the time expression has a second term; to wit, it is

$$\alpha n^2 + \Theta(n \cdot \log(n)).$$

In this paper we reduce the computing time of LQ to

$$\alpha n^2 + \Theta(n).$$

REFERENCES

1. *Lagrange Joseph-Louis* Sur la résolution des équations numériques. 1767. In: Mémoires de l' Académie Royale des Sciences et des Belle-Lettres de Berlin, (1769), **23**, 539–578. This is in vol. 2 of J. A. Serret's Œuvres de Lagrange.
2. *Lagrange Joseph-Louis* Traité de la résolution des équations numériques de tous les degrés. Paris, 1808. This is vol. 8 of J. A. Serret's Œuvres de Lagrange.
3. *Serret J.A.* Œuvres de Lagrange. Paris: Gauthier-Villars, 1879.
4. *Akritas A.G., Strzeboński A.W., Vigklas P.S.* Lagrange's Bound on the Values of the Positive Roots of Polynomials. Submitted.

ACKNOWLEDGEMENTS: The work is partially supported by the Russian Fund for Basic Research (project № 16-07-00420).

Received 18 October 2016

Akritas Alkiviadis G. University of Thessaly, GR-38221, Volos, Greece, Doctor of philosophy, Professor of the Electrical and Computer Engineering Department, e-mail: akritas@uth.gr

Malaschonok Gennadi Ivanovich, Tambov State University named after G.R. Derzhavin, Tambov, the Russian Federation, Doctor of Physics and Mathematics, Professor of the Functional Analysis Department, e-mail: malaschonok@ya.ru

УДК 512.71

DOI: 10.20310/1810-0198-2016-21-6-1933-1937

О ВЫЧИСЛЕНИИ КВАДРАТИЧНОЙ ГРАНИЦЫ ЛАГРАНЖА ДЛЯ ПОЛОЖИТЕЛЬНЫХ КОРНЕЙ ПОЛИНОМА

© А. Г. Акритас¹⁾, Г. И. Малашенок²⁾

¹⁾ Университет Фессалии
38221, Греция, г. Волос
E-mail: akritas@uth.gr

²⁾ Тамбовский государственный университет имени Г.Р. Державина
392000, Российская Федерация, г. Тамбов, ул. Интернациональная, 33
E-mail: malaschonok@ya.ru

Лагранжева квадратичная оценка, для границы положительных корней многочленов состоит из двух частей. Сортировка одномерных массивов используется во второй части алгоритма Лагранжа во всех известных реализациях. Мы предлагаем изменить эту часть алгоритма так, чтобы исключить сортировку. В итоге сложность вычислений в этой части уменьшается с $O(n \cdot \log(n))$ до $O(n)$.

Ключевые слова: квадратичная граница Лагранжа для корней; положительные корни полиномов

СПИСОК ЛИТЕРАТУРЫ

1. *Lagrange Joseph-Louis* Sur la résolution des équations numériques, 1767. In: Mémoires de l' Académie Royale des Sciences et des Belle-Lettres de Berlin, (1769), **23**, 539–578. This is in vol. 2 of J. A. Serret's Œuvres de Lagrange.
2. *Lagrange Joseph-Louis* Traité de la résolution des équations numériques de tous les degrés. Paris, 1808. This is vol. 8 of J. A. Serret's Œuvres de Lagrange.
3. *Serret, J. A.* Œuvres de Lagrange. Paris: Gauthier-Villars, 1879.
4. *Akritas A.G., Strzeboński A.W., Vigklas P.S.* Lagrange's Bound on the Values of the Positive Roots of Polynomials. Submitted.

БЛАГОДАРНОСТИ: Работа выполнена при финансовой поддержке Российского фонда фундаментальных исследований (проект № 16-07-00420).

Поступила в редакцию 18 октября 2016 г.

Акритас Алкивиадис Г., Университет Фессалии, г. Волос, Греция, доктор наук, профессор кафедры электронной и вычислительной техники, e-mail: akritas@uth.gr

Малашенок Геннадий Иванович, Тамбовский государственный университет им. Г.Р. Державина, г. Тамбов, Российская Федерация, доктор физико-математических наук, профессор кафедры функционального анализа, e-mail: malaschonok@ya.ru

Информация для цитирования:

Akritas A.G., Malaschonok G.I. On the computation of Lagrange's quadratic bound for positive roots of polynomials. *Vestnik Tambovskogo universiteta. Seriya Estestvennye i tekhnicheskie nauki – Tambov University Review. Series: Natural and Technical Sciences*, 2016, vol. 21, no. 6, pp. 1933-1937. DOI: 10.20310/1810-0198-2016-21-6-1933-1937